

# Improved lower bounds on sizes of single-error correcting codes

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**Abstract** A construction of codes of length  $n = q + 1$  and minimum Hamming distance 3 over  $GF(q) \setminus \{0\}$  is given. Substitution of the derived codes into a concatenation construction yields nonlinear binary single-error correcting codes with better than known parameters. In particular, new binary single-error correcting codes having more codewords than the best previously known in the range  $n \leq 512$  are obtained for the lengths 64–66, 128–133, 256–262, and 512.

**Keywords**  $A(n, d)$  · MDS code · Weight distribution

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## 1 Introduction

Let  $(n, M, d)_q$  denote a code of length  $n$ , minimum Hamming distance  $d$  and cardinality  $M$  over field  $GF(q)$ , whereas  $[n, k, d]_q$  is a linear  $(n, q^k, d)_q$  code. In a binary case we will omit the lower index and write  $(n, M, d)$ . Let  $A(n, d)$  denote the maximum number of codewords in a binary code of length  $n$  and minimum Hamming distance  $d$ . The quantity  $A(n, d)$  is of basic interest in coding theory. Lower bounds on  $A(n, d)$  are obtained by constructions. For a survey on the known lower bounds the reader is referred to [4].

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In this correspondence we consider lower bounds on  $A(n, 3)$ . One of the most powerful tools in obtaining good lower bounds on  $A(n, 3)$  is the following method which consists of two steps:

- *Subalphabet subcode construction:* Suppose we have a nonbinary  $(n, M, d)_Q$  code  $\mathcal{C}$  over an alphabet  $\mathcal{A} = \{\alpha_1, \alpha_2, \dots, \alpha_Q\}$ . Then, for  $\mathcal{S} \subseteq \mathcal{A}$  we can construct  $(n, M', d')_{|\mathcal{S}|}$  subcode  $\mathcal{C}'$  of  $\mathcal{C}$  over subalphabet  $\mathcal{S}$  of  $\mathcal{A}$ , i.e.,  $\mathcal{C}'$  consists of those codewords of  $\mathcal{C}$  which have values from  $\mathcal{S}$  in all the coordinates. It is clear that  $M' \leq M$  and  $d' \geq d$ .
- *Concatenation construction:* Each coordinate value  $\alpha_i \in \mathcal{S}$  is substituted by codewords of a binary code  $\mathcal{C}_i$  with parameters  $(n_0, M_i, d)$ , such that  $\mathcal{C}_i \cap \mathcal{C}_j = \emptyset$  for  $i \neq j$ . Thus, the resulting binary code has length  $n \cdot n_0$  and minimum distance  $d$ . The size of the code obtained depends on the values  $M_i$ ,  $1 \leq i \leq |\mathcal{S}|$ .

To obtain good binary codes with minimum distance 3, one usually takes  $|\mathcal{S}| = 2^m$  and codes  $\mathcal{C}_i$  be cosets of the binary Hamming code of length  $2^m - 1$ . For a description of this method and some related constructions the reader is referred to [1–4, 6].

In Sect. 2, we present a new construction which is a modification of the method given above. We construct a subalphabet subcode such that the alphabet sizes of the coordinates of the new code are not all equal  $|\mathcal{S}|$ . In Sect. 3, we apply the new construction and obtain improved lower bounds on  $A(n, 3)$ .

The following notations will be used. The binary Hamming code of order  $s$ ,  $\mathcal{H}_1(s)$ , is a  $(2^s - 1, 2^{s-1-s}, 3)$  code. Given  $s$ ,  $\{\mathcal{H}_i(s) : 1 \leq i \leq 2^s\}$  denotes the collection of non-intersecting codes consisting of the binary Hamming code and its  $2^s - 1$  cosets.

## 2 Construction

For  $\mathcal{C}$  being an  $(n, M, d)$  code, let  $A_w$  be the number of codewords of weight  $w$ . The numbers  $A_0, A_1, \dots, A_n$  are called the *weight distribution* of  $\mathcal{C}$ . Clearly  $A_0 + A_1 + \dots + A_n = M$ .

Throughout  $\mathcal{C}$  will denote the nonbinary MDS Hamming code having parameters  $[n = q + 1, k = q - 1, 3]_q$  over  $GF(q)$ . Let  $GF(q) = \{0, \alpha_1, \dots, \alpha_i, \dots, \alpha_{q-1}\}$ . The weight distribution of MDS codes is known (see, e.g. [5, pp. 320–321]).

**Theorem 1** *The number of codewords of weight  $w$  in an  $[n, k, d = n - k + 1]_q$  MDS code over  $GF(q)$  is*

$$A_w = \binom{n}{w} (q-1) \sum_{j=0}^{w-d} (-1)^j \binom{w-1}{j} q^{w-d-j}. \quad (1)$$

We denote  $\mathcal{C}_w = \{c \in \mathcal{C} : wt(c) = w\}$ . Obviously  $\mathcal{C} = \bigcup_{w=0}^n \mathcal{C}_w$  and  $|\mathcal{C}_w| = A_w$ .

**Lemma 1** *For every coordinate and any  $i \in \{1, \dots, q-1\}$ , the number of codewords of  $\mathcal{C}_{q+1}$  having  $\alpha_i$  in this coordinate is  $\frac{A_{q+1}}{q-1}$ .*

*Proof* Denote

$$B_\ell^j = \left\{ u = (u_1, \dots, u_k) \in GF(q)^k : uG = c \in \mathcal{C}_{q+1}, c_\ell = \alpha_j \in GF(q) \setminus \{0\} \right\},$$

where  $G$  is a generator matrix of  $\mathcal{C}$  and  $c_\ell$  is the  $\ell$ th coordinate of the codeword  $c$ . We wish to prove that for each  $\ell$ ,  $1 \leq \ell \leq q+1$ , and for any  $i, j \in \{1, \dots, q-1\}$ ,  $|B_\ell^j| = |B_\ell^i|$ . Note that  $u \in B_\ell^j$  if and only if

$$\alpha_i \cdot \alpha_j^{-1} u = (\alpha_i \cdot \alpha_j^{-1} u_1, \dots, \alpha_i \cdot \alpha_j^{-1} u_k) \in B_\ell^i,$$

where  $\alpha_j^{-1}$  denotes multiplicative inverse of  $\alpha_j$  in  $GF(q)$ , which completes the proof.  $\square$

**Lemma 2** For every coordinate, the number of codewords of  $\mathcal{C}_q$  having 0 in that coordinate is  $A_q/(q+1)$ .

*Proof* Let

$$H = [h_1, h_2, \dots, h_\ell, \dots, h_n]$$

be a parity check matrix of  $\mathcal{C}$  and

$$H'_\ell = [h_1, h_2, \dots, h_{\ell-1}, h_{\ell+1}, \dots, h_n]$$

be a parity check matrix of the code  $\mathcal{C}'$  which is obtained by deleting the  $\ell$ th column  $h_\ell$  from  $H$ . Since  $\mathcal{C}$  is MDS, it follows from [5, Corollary 3, p.319] that every  $n-k = q+1-(q-1)=2$  columns of  $H$  are linearly independent. Thus every two columns of  $H'_\ell$  are also linearly independent, and by the same corollary [5, Corollary 3, p.319], the code  $\mathcal{C}'$  having parameters  $[n'=n-1=q, k'=k-1=q-2, d=n'-k'+1=3]_q$  is MDS. Obviously

$$\mathcal{C}'_q = \{(c_1, \dots, c_{\ell-1}, c_{\ell+1}, \dots, c_n) : (c_1, \dots, c_{\ell-1}, 0, c_{\ell+1}, \dots, c_n) \in \mathcal{C}_q\}.$$

Using (1) we have

$$A_q = |\mathcal{C}_q| = (q+1)(q-1) \sum_{j=0}^{q-d} (-1)^j \binom{q-1}{j} q^{q-d-j},$$

$$|\mathcal{C}'_q| = (q-1) \sum_{j=0}^{q-d} (-1)^j \binom{q-1}{j} q^{q-d-j}$$

and therefore

$$|\mathcal{C}'_q| = \frac{A_q}{q+1}.$$

$\square$

**Lemma 3** For a  $[q+1, q-1, 3]_q$  MDS code  $\mathcal{C}$ ,  $q$  odd,

$$A_{q+1} = \frac{q-1}{q^2} \left( (q-1)^q - q^2 + 1 \right). \quad (2)$$

*Proof* Using (1), we obtain

$$\begin{aligned} A_{q+1} &= (q-1) \sum_{j=0}^{q-2} (-1)^j \binom{q}{j} q^{q-2-j} = \frac{q-1}{q^2} \sum_{j=0}^{q-2} (-1)^j \binom{q}{j} q^{q-j} \\ &= \frac{q-1}{q^2} \left( \sum_{j=0}^q (-1)^j \binom{q}{j} q^{q-j} - \sum_{j=q-1}^q (-1)^j \binom{q}{j} q^{q-j} \right) = \frac{q-1}{q^2} ((q-1)^q - q^2 + 1). \end{aligned}$$

□

**Lemma 4** For a  $[q+1, q-1, 3]_q$  MDS code  $\mathcal{C}$ ,  $q$  odd,

$$A_q = \frac{q+1}{q^2} \left( (q-1)^q + (q-1)(q^2 - q - 1) \right). \quad (3)$$

*Proof* Using (1), we obtain

$$\begin{aligned} A_q &= (q+1)(q-1) \sum_{j=0}^{q-3} (-1)^j \binom{q-1}{j} q^{q-3-j} = \frac{q^2-1}{q^2} \sum_{j=0}^{q-3} (-1)^j \binom{q-1}{j} q^{q-1-j} \\ &= \frac{q^2-1}{q^2} \left( \sum_{j=0}^{q-1} (-1)^j \binom{q-1}{j} q^{q-1-j} - \sum_{j=q-2}^{q-1} (-1)^j \binom{q-1}{j} q^{q-1-j} \right) \\ &= \frac{q^2-1}{q^2} ((q-1)^{q-1} + q^2 - q - 1) = \frac{q+1}{q^2} ((q-1)^q + (q-1)(q^2 - q - 1)). \end{aligned}$$

□

Let  $m \in \{1, \dots, q-2\}$ . We take  $m \cdot \frac{A_{q+1}}{q-1}$  codewords of  $\mathcal{C}_{q+1}$  having  $\alpha_1, \dots, \alpha_m$  in the  $\ell$ th coordinate, and  $\frac{A_q}{q+1}$  codewords of the code  $\mathcal{C}_q$  having 0 at the  $\ell$ th coordinate which we substitute by  $\alpha_{m+1}$ . Therefore, we obtain a  $(q+1, m \frac{A_{q+1}}{q-1} + \frac{A_q}{q+1}, 3)_{q-1}$  code over  $GF(q) \setminus \{0\}$ . Let us denote this code by  $\mathcal{D}(m, q)$ . If  $q$  is odd it is easy to evaluate, using (2) and (3), that  $\mathcal{D}(m, q)$  has parameters

$$\left( q+1, \frac{(m+1)(q-1)^q + (q-1)(q^2 - q - 1) - m(q^2 - 1)}{q^2}, 3 \right)_{q-1}.$$

Now, let us consider the case  $m = 2^s - 1$  and  $q = 2^t + 1$ . We plug in the values for  $m$  and  $q$  and obtain that  $\mathcal{D}(2^s - 1, 2^t + 1)$  is an  $(n, M, 3)_{2^t}$  code, where

$$n = 2^t + 2, \quad M = \frac{2^{t2^t+t+s} + 2^{3t} + 2^{2t+1} + 2^t - 2^{2t+s} - 2^{t+s+1}}{2^{2t} + 2^{t+1} + 1}.$$

We know that in the code  $\mathcal{D}(2^s - 1, 2^t + 1)$ , in the  $\ell$ th coordinate, only  $2^s$  symbols can appear from the  $2^t$  symbols of  $GF(2^t + 1) \setminus \{0\}$ . Therefore, we can encode the codewords of  $\mathcal{D}(2^s - 1, 2^t + 1)$  in the following way to obtain a binary code.

In the  $\ell$ -th coordinate: If  $s = 1$ , then  $\alpha_1 \rightarrow 0$  and  $\alpha_2 \rightarrow 1$ . If  $s \geq 2$ , then

$$\begin{aligned} \alpha_1 &\rightarrow \text{all the codewords of } \mathcal{H}_1(s), \\ \alpha_2 &\rightarrow \text{all the codewords of } \mathcal{H}_2(s), \\ &\vdots \\ \alpha_i &\rightarrow \text{all the codewords of } \mathcal{H}_i(s), \end{aligned}$$

$$\alpha_{2^s} \rightarrow \text{all the codewords of } \mathcal{H}_{2^s}(s).$$

In the rest of coordinates: We encode using the following rules

$$\alpha_1 \rightarrow \text{all the codewords of } \mathcal{H}_1(t),$$

$$\alpha_2 \rightarrow \text{all the codewords of } \mathcal{H}_2(t),$$

$$\vdots$$

$$\alpha_i \rightarrow \text{all the codewords of } \mathcal{H}_i(t),$$

$$\vdots$$

$$\alpha_{2^t} \rightarrow \text{all the codewords of } \mathcal{H}_{2^t}(t).$$

By this encoding the code  $\mathcal{D}(2^s - 1, 2^t + 1)$  transforms into a binary code, that will be denoted by  $\mathcal{B}(s, t)$ , having parameters  $(n, M, 3)$ , where

$$n = 2^{2t} + 2^s - 2, \quad M = \frac{2^{t2^t+s} + 2^{3t} + 2^{2t+1} + 2^t - 2^{2t+s} - 2^{t+s+1}}{2^{2t} + 2^{t+1} + 1} 2^{2^{2t} + 2^s - t2^t - t - s - 2}.$$

Therefore, we have proved the following theorem.

**Theorem 2** *Let  $q$  be a prime power of the form  $q = 2^t + 1$ , and  $m$  be an integer of the form  $m = 2^s - 1$ ,  $s \leq t$ . There exists a binary  $(n, M, 3)$  code  $\mathcal{B}(s, t)$ , where*

$$n = 2^{2t} + 2^s - 2, \quad M = \frac{2^{t2^t+s} + 2^{3t} + 2^{2t+1} + 2^t - 2^{2t+s} - 2^{t+s+1}}{2^{2t} + 2^{t+1} + 1} 2^{2^{2t} + 2^s - t2^t - t - s - 2}.$$

### 3 Improved lower bounds on $A(n, 3)$ for $n \leq 512$

Here we apply the construction from the previous section to improve on the best known values of  $A(n, 3)$  for  $n \leq 512$ . The following table presents these improvements. Codes that are obtained by shortening and having the same redundancy do not appear in the table.

Length	$\mathcal{B}(s, t)$	$ \mathcal{B}(s, t) $	Previous bound
64	$\mathcal{B}(1, 3)$	$1657012 \times 2^{37}$	$1657009 \times 2^{37}$
66	$\mathcal{B}(2, 3)$	$1657010 \times 2^{39}$	$1657009 \times 2^{39}$
256	$\mathcal{B}(1, 4)$	$1021273028302258920 \times 2^{188}$	$1021273028302258913 \times 2^{188}$
258	$\mathcal{B}(2, 4)$	$1021273028302258916 \times 2^{190}$	$1021273028302258913 \times 2^{190}$
262	$\mathcal{B}(3, 4)$	$1021273028302258914 \times 2^{194}$	$1021273028302258913 \times 2^{194}$

By using the  $(u, u + v)$  construction [5, p.76] on the new codes of lengths 64–66 and 256, we obtain codes of lengths 128–133 and 512 in the range  $n \leq 512$ , that improve on the best known values.

Length	New bound	Previous bound
128	$1657012 \times 2^{100}$	$1657009 \times 2^{100}$
133	$1657010 \times 2^{105}$	$1657009 \times 2^{105}$
512	$1021273028302258920 \times 2^{443}$	$1021273028302258913 \times 2^{443}$

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